RESET

Assumption Life

Registered investment account

NON-FINANCIAL TRANSACTION REQUEST

ADVISOR CODE

Client Services
Tel. 506-853-6040/1-888-577-7337 • **Fax.** 506-853-9369/1-855-430-0588
Email: investments.retirement@assumption.ca

DEALER CODE (FUNDSERV)

Dealer Support (FundSERV)
Tél. 506-853-6040/1-855-577-3863 • **Téléc.** 506-853-9369/1-855-430-0588
Email: fundserv@assumption.ca

Policy Number

Client Number

Type Of Contract:

☐ TFSA ☐ FHSA ☐ RSP ☐ RIF ☐ Spousal RIF ☐ Spousal RSP ☐ LIRA (prov.) _______ ☐ RLSP ☐ LIF/RLIF (prov.) _______

ANNUITANT

First Name

Last Name

Date of Birth: _______/_______/_______
Day Month Year

Address

Telephone No.

Email

CONTRIBUTOR

First Name

Last Name

Date of Birth: _______/_______/_______
Day Month Year

Address

Telephone No.

Email

1. NAME CHANGE

☐ Annuitant ☐ Contributor

A proof of the change is required *(ex: copy of marriage or divorce certificate, driver's license, etc.)*

New name *(Print name in full)*

Reason: ☐ Mariage _____/_______/_______ ☐ Divorce _____/_______/_______ ☐ Other ____________________
Day Month Year Day Month Year *(Give date and reason)*

X

Signature

Date: _______/_______/_______
Day Month Year

6404-00A-MAY26

2. CHANGE OF ADDRESS

Current Address	Previous Address
Telephone Number:	Telephone Number:

X ______________________________ Date: ________/________________/__________
Signature Day Month Year

3. CHANGE OF BENEFICIARY

We now offer a death benefit in the form of an annuity. If you want this option please fill in the form 6323-00A DEC18 Change of Beneficiary-Annuity Settlement Option.

If permitted under applicable laws, I, the undersigned, hereby revoke all previous beneficiary designations and name the following person(s) as my beneficiary/beneficiaries:

Primary Beneficiary: ☐ Revocable ☐ Irrevocable

First Name	Last Name	Age	Relationship to annuitant *(In Quebec, relationship to owner)*	%

If the beneficiary is a minor, a trustee must be appointed. Trustee: ______________________________

Contingent Beneficiary: ☐ Revocable ☐ Irrevocable

(Applies only if all above-named primary beneficiaries die before the annuitant)

First Name	Last Name	Age	Relationship to annuitant *(In Quebec, relationship to owner)*	%

Revocable: Consent of beneficiary is not required to change designation.
Irrevocable: Consent of beneficiary is required to change designation.

X ______________________________ Date: ________/________________/__________
Signature of Annuitant Day Month Year

Signature of irrevocable beneficiary. In Quebec, the designation of a spouse or common-law partner as beneficiary is irrevocable, unless otherwise stipulated. All other beneficiary designations are revocable unless otherwise stipulated. The designation of an irrevocable beneficiary limits your rights under the contract, and his/her consent will be required for all future transactions, including withdrawals and beneficiary changes.

As irrevocable beneficiary, I hereby give my consent to the above change.

X ______________________________ Date: ________/________________/__________
Signature of Irrevocable Beneficiary Day Month Year

Assumption Mutual Life Insurance Company, PO Box 160/770 Main St., Moncton NB E1C 8L1
Tel. 506-853-6040/1-888-577-7337 • Fax 506-853-9369/1-855-430-0588

4. CHANGE OF INVESTMENT INSTRUCTIONS

New Instructions: (Please refer to our list of funds on page 5)

Account Name	Identification Number	% ou $

X ______________________________ Date: ______/______/______
Signature of Annuitant — Day Month Year

X ______________________________ Date: ______/______/______
Advisor Signature — Day Month Year

5. ASSIGNMENT OF CONTRACT (TFSA CONTRACTS)

____
Assignee's full name *(the person or entity to whom the contract is being assigned)*

____
Address

______________________________ $ ______________________________
Telephone — Amount being assigned

This assignment does not terminate the existing beneficiary designation. The assignee shall be included as a joint payee on the payment of any contract proceeds. The interest of the assignee is limited to the amount required to satisfy the contract holder's obligation to him. The assignee shall have the right to surrender or borrow on the contract without the consent of the contract holder or the beneficiary.

X ______________________________ Date: ______/______/______
Signature of Annuitant — Day Month Year

As the irrevocable beneficiary, I hereby give my consent to the above assignment.

X ______________________________ Date: ______/______/______
Signature of Irrevocable Beneficiary — Day Month Year

6. CLIENT REQUEST FOR ACCESS TO HIS/HER PERSONAL INFORMATION

TYPE OF INFORMATION REQUESTED

Give a detailed description of the documents or personal information requested *(policy, contract or loan number, etc.)*

____
____
____

Type of Access Requested: ☐ Head Office Consultation ☐ Copy by Mail

X ______________________________ Date: ______/______/______
Signature of Owner — Day Month Year

TO SUBMIT YOUR REQUEST

Send to the following address: Assumption Life, P.O. Box 160 / 770 Main Street, Moncton NB E1C 8L1 • **Email:** customer.inquiries@assumption.ca

If your request is denied, you may submit a complaint to our:
Compliance Officer, Assumption Life, P.O. Box 160 / 770 Main Street, Moncton N.B. E1C 8L1

PRIVACY STATEMENT

FOR INDIVIDUAL AND GROUP INVESTMENT PLANS, ANNUITIES AND PENSION PLANS

This privacy statement is applicable to investment products as well as to immediate or deferred annuities you may purchase with Assumption Life (individual and group plans, annuities, and pension plans), hereinafter referred to in this statement as "Product."
In this statement, "you" and "your" refer to you. "We," "us," "our" and "the Company" refer to Assumption Mutual Life Insurance Company, its employees, representatives, and agents. "Third Parties" mean the advisors, brokers, distribution partners, reinsurers or service providers having a business relationship with us, including their respective employees, representatives, and agents.

Purpose

By signing this form, you consent that we collect your personal information for the purpose of administering your Product, now and in the future, as outlined below. We only collect the personal information that we need in order to provide you with the services you need associated with your Product. Such services include underwriting, administration, claims adjudication, annuity payments, protection against fraud, errors, or misrepresentations as well as evaluation and improvement of protection and security measures.

Personal information we collect

Your personal information may include your name, address, email address, date of birth, name of your spouse when required, your sensitive financial information such as your banking information, your income, your social insurance number (SIN), etc. With your consent, we may also collect your electronic or digital signature through a Third-Party service provider platform or system. In such an event, we will collect the data supporting the validity, the time, and the location of your signature, including the Internet protocol address "IP address" associated with the electronic device used for your signature. If you complete a Product application or a subscription form online, we will collect the electronic data associated with the electronic process. We may collect this data through a Third-Party service provider system.

Use

Your personal information will only be used for the purpose for which it was collected. Only us and Third Parties who need your personal information for the performance of their duties will have access to your personal information. We may also use your personal information to determine your eligibility for, and provide you with details of, other related products or services that we believe meet your changing needs. You may withdraw your consent for us to use your personal information to provide you with other products or services offered. If you wish to withdraw your consent, you may contact us at 506-853-6040/1-888-577-7337 or investments.retirement@assumption.ca.

You agree that we use your personal information to comply with legal and regulatory requirements, to confirm your identity and the accuracy of the information you provided, to conduct searches to locate you and to update your information at your request.

You understand that we will only use your social insurance number (SIN) as required by tax laws, but may also use it, in exceptional circumstances, if we need to locate you after having used other less sensitive personal information. In such instance, we may need to contact the income tax authorities or a credit agency to assist us in finding your most recent address in order to locate you.

If you purchased an annuity, we may use your personal information, on a continuous basis, to confirm with a Third-Party service provider that you are still living at the time of the annuity payment.

Disclosure

While administering your product, we may need to collect and share your personal information with Third Parties who may need to fulfill their duties to you or to us. If your product is a group investment or a pension plan, we may also need to collect and share your personal information with your employer or plan administrator.

Unless authorized by law or required by law or a court order, Assumption Life will not disclose your personal information to other parties without your consent.

Protection

In order to ensure the confidentiality of your personal information, we will establish and retain a file on you, in accordance with applicable laws. We may also use third-party services and servers situated in Canada or elsewhere to retain your personal information. If your personal information is retained outside Canada, it may be subject to the laws of those countries.

As part of our commitment to you pertaining to the protection of your personal information, we have put in place outsourcing contracts with Third Parties with whom we will share your personal information. Those Third Parties' contracts contain privacy protection and measures similar to those we have put in place as part of our commitment to you, respectful of privacy laws and regulations.

6764-00A-JUN22

Consent

During our client relationship with you, you may have to fill out and sign various forms. By signing those forms, you give your consent for us to collect, use and disclose your personal information, as set out in this privacy statement. Any alterations to the consent must be agreed to in writing by us.

You understand and accept that any withdrawal of your consent may make it impossible for us to administer your Product and can therefore be considered as a request for termination of your contract or group plan, forcing us to suspend any service request, including but not limited to, the payment of an annuity or benefit amount, when applicable.

You may also withdraw your consent for us to use your personal information to provide you with other product or service offerings, except those that are mailed with your statements. If you wish to withdraw your consent for us to collect, use, retain or share your personal information, you may contact us at 506-853-6040/1-888-577-7337 or investments.retirement@assumption.ca.

Assumption Life

Vesta

Investing. Simplified.
With everything at your fingertips, complete your next application in just 10 minutes with ***Vesta***, Assumption Life's investment application platform.

REGISTERED INVESTMENT ACCOUNT, GIA & DIA CODES

(AS OF NOVEMBER 1, 2024)

Guaranteed Interest Account (GIA's)	Fund Code
Guaranteed Interest Account 1-year non-redeemable	GICN1
Guaranteed Interest Account 2-year non-redeemable	GICN2
Guaranteed Interest Account 3-year non-redeemable	GICN3
Guaranteed Interest Account 4-year non-redeemable	GICN4
Guaranteed Interest Account 5-year non-redeemable	GICN5
Guaranteed Interest Account 1-year redeemable	GICR1
Daily Interest Account	DIA

	75/75 (Maturity & Death Benefit)					**75/100** (Maturity & Death Benefit)				
	No Load				DSC* Series D	No Load				DSC* Series G
Account Name	**CB5** Series D	**CB3** Series D	**CB2** Series D	**Trail Only** Series E		**CB5** Series G	**CB3** Series G	**CB2** Series G	**Trail Only** Series G	
TARGET RISK										
Conservative Portfolio (Assumption Life)	603	1003	1103	703	903	1803	1603	1503	1703	1903
Balanced Portfolio (Assumption Life)	602	1002	1102	702	902	1802	1602	1502	1702	1902
Balanced Growth Portfolio (Assumption Life)	601	1001	1101	701	901	1801	1601	1501	1701	1901
Growth Portfolio (Assumption Life)	600	1000	1100	700	900	1800	1600	1500	1700	1900
Select Defensive Portfolio (Assumption Life)	624	1024	1124	724	924	1824	1624	1524	1724	1924
Select Moderate Portfolio (Assumption Life)	625	1025	1125	725	925	1825	1625	1525	1725	1925
Select Balanced Portfolio (Assumption Life)	626	1026	1126	726	926	1826	1626	1526	1726	1926
Select Growth Portfolio (Assumption Life)	627	1027	1127	727	927	1827	1627	1527	1727	1927
Select Enhanced Growth Portfolio (Assumption Life)	628	1028	1128	728	928	1828	1628	1528	1728	1928
TARGET DATE										
SmartSeries Income (Assumption Life)	604	1004	1104	704	904	1804	1604	1504	1704	1904
SmartSeries 2020 (Assumption Life)	605	1005	1105	705	905	1805	1605	1505	1705	1905
SmartSeries 2025 (Assumption Life)	606	1006	1106	706	906	1806	1606	1506	1706	1906
SmartSeries 2030 (Assumption Life)	607	1007	1107	707	907	1807	1607	1507	1707	1907
SmartSeries 2035 (Assumption Life)	608	1008	1108	708	908	1808	1608	1508	1708	1908
SmartSeries 2040 (Assumption Life)	609	1009	1109	709	909	1809	1609	1509	1709	1909
SmartSeries 2045 (Assumption Life)	610	1010	1110	710	910	1810	1610	1510	1710	1910
SmartSeries 2050 (Assumption Life)	611	1011	1111	711	911	1811	1611	1511	1711	1911
SmartSeries 2055 (Assumption Life)	612	1012	1112	712	912	1812	1612	1512	1712	1912
FIXED INCOME										
Canadian Bond (Louisbourg)	618	1018	1118	718	918	1818	1618	1518	1718	1918
Money Market (Louisbourg)	641	1041	1141	741	-	1841	1641	1541	1741	-
High Yield Bond (TD)	637	1037	1137	737	-	1837	1637	1537	1737	-
Corporate Bond (CI)	640	1040	1140	740	-	1840	1640	1540	1740	-
CANADIAN EQUITY										
Canadian Equity (Louisbourg)	615	1015	1115	715	915	1815	1615	1515	1715	1915
Canadian Small Cap (Louisbourg)	616	1016	1116	716	916	1816	1616	1516	1716	1916
Momentum Canadian Equity (Louisbourg)	617	1017	1117	717	917	1817	1617	1517	1717	1917
Preferred Share (Louisbourg)	619	1019	1119	719	919	1819	1619	1519	1719	1919
Canadian Core Equity (Fidelity)	620	1020	1120	720	-	1820	1620	1520	1720	-
Canadian Low Volatility Equity (Fidelity)	635	1035	1135	735	-	1835	1635	1535	1735	-
Canadian Dividend (CI)	629	1029	1129	729	-	1829	1629	1529	1729	-

**Money can no longer be deposited to DSC funds. Fund transfers between DSC funds are still permitted.*

6570-00A-MAR26

	75/75 (Maturity & Death Benefit)					75/100 (Maturity & Death Benefit)				
	No Load				DSC*	No Load				DSC*
Account Name	CB5 Series D	CB3 Series D	CB2 Series D	Trail Only Series E	Series D	CB5 Series G	CB3 Series G	CB2 Series G	Trail Only Series G	Series G
U.S., INTERNATIONAL AND GLOBAL EQUITIES										
U.S. Equity (Louisbourg)	613	1013	1113	713	913	1813	1613	1513	1713	1913
International Equity (Louisbourg)	614	1014	1114	714	914	1814	1614	1514	1714	1914
International Growth (Fidelity)	621	1021	1121	721	921	1821	1621	1521	1721	1921
U.S. Focused Stock (Fidelity)	623	1023	1123	723	923	1823	1623	1523	1723	1923
Global Low Volatility Equity (Fidelity)	633	1033	1133	733	-	1833	1633	1533	1733	-
All-in-One Growth ETF (Fidelity)	647	1047	1147	747	-	1847	1647	1547	1747	-
Global Equity + (Fidelity)	648	1048	1148	748	-	1848	1648	1548	1748	-
Global Leaders (CI/Black Creek)	622	1022	1122	722	922	1822	1622	1522	1722	1922
Global Resource (CI)	630	1030	1130	730	-	1830	1630	1530	1730	-
Emerging Markets (CI)	634	1034	1134	734	-	1834	1634	1534	1734	-
Global Real Asset (CI)	636	1036	1136	736	-	1836	1636	1536	1736	-
Global Dividend (CI)	644	1044	1144	744	-	1844	1644	1544	1744	-
Greystone Global Equity (TD)	638	1038	1138	738	-	1838	1638	1538	1738	-
U.S. Small Cap Equity (TD)	639	1039	1139	739	-	1839	1639	1539	1739	-
BALANCED										
Balanced (Louisbourg)	642	1042	1142	742	-	1842	1642	1542	1742	-
Canadian Asset Allocation (Fidelity)	632	1032	1132	732	-	1832	1632	1532	1732	-
Monthly Income (Fidelity)	643	1043	1143	743	-	1843	1643	1543	1743	-
Climate Leadership Balanced (Fidelity)	645	1045	1145	745	-	1845	1645	1545	1745	-
All-in-One Balanced ETF (Fidelity)	646	1046	1146	746	-	1846	1646	1546	1746	-

**Money can no longer be deposited to DSC funds. Fund transfers between DSC funds are still permitted.*

100% guarantee at death:

Assumption Life guarantees that the death benefit payment will be equal to 100% of the amount of any gross premiums deposited into a guaranteed interest account and 100% of any gross premiums deposited into a segregated fund prior to the annuitant's 77th birthday.

Annuitant's age on the contract at the time of premium deposit	Gross premium guarantee rate
77 – 78 years old	95%
78 – 79 years old	90%
79 – 80 years old	85%
80 years old and over	80%

75% guarantee at death:

Assumption Life guarantees that the death benefit deposit will be equal to 100% of the amount of any gross premiums deposited into a guaranteed interest account and 75% of any gross premiums deposited into a segregated fund.