Segregated Funds

Assumption Life

ERASE

NON-FINANCIAL TRANSACTION REQUEST

ADVISOR CODE

Client Services
Tel. 506-853-6040/1-888-577-7337 • Fax. 506-853-9369/1-855-430-0588
Email: investments.retirement@assumption.ca

DEALER CODE (FUNDSERV)

Dealer Support (FundSERV)
Tél. 506-853-6040/1-855-577-3863 • Téléc. 506-853-9369/1-855-430-0588
Email: fundserv@assumption.ca

Policy Number

Client Number

Type of contract: ☐ Individual ☐ Group

☐ Non-registered ☐ RSP ☐ Spousal RSP ☐ Locked–in RSP (fed) ☐ Locked-in RSP (prov.) ____ ☐ TFSA ☐ LIRA (prov.) ____
☐ RIF ☐ Spousal RIF ☐ LIF (prov.) ____

ANNUITANT

First Name | Last Name | Date of Birth: ____/____/____ (Day / Month / Year)

Address

Telephone No. | Email

OWNER AND/OR CONTRIBUTOR

First Name | Last Name | Date of Birth: ____/____/____ (Day / Month / Year)

Address

Telephone No. | Email

NOTE FOR NON REGISTERED ACCOUNTS ONLY:
If your residence for tax purposes has changed, please complete the Canada Revenue Agency form RC518- Declaration of Tax Residence for Individuals, or form RC519- Declaration of Tax Residence for Entities, available on our website at www.assumption.ca/advisorcorner. Each account holder of a joint account has to fill out a Declaration of Tax Residence form.

1. NAME CHANGE

☐ Annuitant ☐ Contributor ☐ Owner

A proof of the change is required *(ex: copy of marriage or divorce certificate, driver's license, etc.)*

☐ Marriage ☐ Divorce ☐ Other _____

New Name: First Name | Last Name | Date of change: ____/____/____ (Day / Month / Year)

X _____ Date: ____/____/____ (Day / Month / Year)
Signature

4922-00A-AUG26

2. CHANGE OF ADDRESS

Current Address	Previous Address
Telephone Number:	Telephone Number:

X _____ Date: _____/_____/_____

Signature — Day — Month — Year

3. CHANGE OF BENEFICIARY

If permitted under applicable laws, I, the undersigned, hereby revoke all previous beneficiary designations and name the following person(s) as my beneficiary/beneficiaries:

Primary Beneficiary: ☐ Revocable ☐ Irrevocable

First Name	Last Name	Age	Relationship to annuitant *(In Quebec, relationship to owner)*	%

Trustee appointment (only applies to minor beneficiaries not residing in Quebec): _____

Contingent Beneficiary: ☐ Revocable ☐ Irrevocable

(Applies only if all above-named primary beneficiaries die before the annuitant)

First Name	Last Name	Age	Relationship to annuitant *(In Quebec, relationship to owner)*	%

Trustee appointment (only applies to minor beneficiaries not residing in Quebec): _____

Revocable: Consent of beneficiary is not required to change designation. Irrevocable: Consent of beneficiary is required to change designation.

I understand that, subject to applicable laws, all death benefits from locked-in funds shall be payable to my spouse or, if I have no spouse, to my designated beneficiary or to my estate, as the case may be.

X _____ Date: _____/_____/_____

Signature of Owner — Day — Month — Year

Signature of irrevocable beneficiary. In Quebec, the designation of a spouse or common-law partner as beneficiary is irrevocable, unless otherwise stipulated. All other beneficiary designations are revocable unless otherwise stipulated. The designation of an irrevocable beneficiary limits your rights under the contract, and his/her consent will be required for all future transactions, including withdrawals and beneficiary changes.

As irrevocable beneficiary, I hereby give my consent to the above change.

X _____ Date: _____/_____/_____

Signature of Irrevocable Beneficiary — Day — Month — Year

Assumption Mutual Life Insurance Company, PO Box 160/770 Main St., Moncton NB E1C 8L1
Tel. 506-853-6040/1-888-577-7337 • Fax 506-853-9369/1-855-430-0588

4. CHANGE OF INVESTMENT INSTRUCTIONS

☐ Individual ☐ Group

New Instructions: See the fund list at the end of this form for the applicable Fund Code

Fund Name	Fund Code	% or $

X _____ Date: ____/____/____

Signature of Owner — Day / Month / Year

X _____ Date: ____/____/____

Advisor Signature — Day / Month / Year

5. CHANGE OF OWNERSHIP

TRANSFER ALL OWNERSHIP RIGHTS TO:

_____ _____ Date of Birth ____/____/____

First Name — Last Name — Day / Month / Year

_____ | | | | | | | | | |

Address — Social Insurance Number

X _____ Date: ____/____/____

Signature of Owner — Day / Month / Year

X _____ Date: ____/____/____

Signature of new Owner — Day / Month / Year

As the irrevocable beneficiary, I hereby give my consent to the above request.

X _____ Date: ____/____/____

Signature of Irrevocable Beneficiary — Day / Month / Year

If a change of beneficiary is required, the new owner must complete section number 1 of this form.
If a change to investment instructions is required, complete section number 4 of this form.

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6. ASSIGNMENT OF CONTRACT (FOR NON-REGISTERED AND TFSA CONTRACTS)

Assignee's full name (the person or entity to whom the contract is being assigned)

Address

Telephone

$ Amount being assigned

This assignment does not terminate the existing beneficiary designation. The assignee shall be included as a joint payee on the payment of any contract proceeds. The interest of the assignee is limited to the amount required to satisfy the contract holder's obligation to him. The assignee shall have the right to surrender or borrow on the contract without the consent of the contract holder or the beneficiary.

X _____ Date: ____/____/____

Signature of Owner — Day / Month / Year

As the irrevocable beneficiary, I hereby give my consent to the above assignment.

X _____ Date: ____/____/____

Signature of Irrevocable Beneficiary — Day / Month / Year

7. CLIENT REQUEST FOR ACCESS TO HIS/HER PERSONAL INFORMATION

TYPE OF INFORMATION REQUESTED

Give a detailed description of the documents or personal information requested (policy, contract or loan number, etc.)

Type of Access Requested: ☐ Head Office Consultation ☐ Copy by Mail

X _____ Date: ____/____/____

Signature of Owner — Day / Month / Year

TO SUBMIT YOUR REQUEST

Send to the following address: Assumption Life, P.O. Box 160 / 770 Main Street, Moncton NB E1C 8L1 • **Email:** customer.inquiries@assumption.ca

Assumption Life

PRIVACY STATEMENT

FOR INDIVIDUAL AND GROUP INVESTMENT PLANS, ANNUITIES AND PENSION PLANS

This privacy statement is applicable to investment products as well as to immediate or deferred annuities you may purchase with Assumption Life (individual and group plans, annuities, and pension plans), hereinafter referred to in this statement as "Product."
In this statement, "you" and "your" refer to you. "We," "us," "our" and "the Company" refer to Assumption Mutual Life Insurance Company, its employees, representatives, and agents. "Third Parties" mean the advisors, brokers, distribution partners, reinsurers or service providers having a business relationship with us, including their respective employees, representatives, and agents.

Purpose

By signing this form, you consent that we collect your personal information for the purpose of administering your Product, now and in the future, as outlined below. We only collect the personal information that we need in order to provide you with the services you need associated with your Product. Such services include underwriting, administration, claims adjudication, annuity payments, protection against fraud, errors, or misrepresentations as well as evaluation and improvement of protection and security measures.

Personal information we collect

Your personal information may include your name, address, email address, date of birth, name of your spouse when required, your sensitive financial information such as your banking information, your income, your social insurance number (SIN), etc. With your consent, we may also collect your electronic or digital signature through a Third-Party service provider platform or system. In such an event, we will collect the data supporting the validity, the time, and the location of your signature, including the Internet protocol address "IP address" associated with the electronic device used for your signature. If you complete a Product application or a subscription form online, we will collect the electronic data associated with the electronic process. We may collect this data through a Third-Party service provider system.

Use

Your personal information will only be used for the purpose for which it was collected. Only us and Third Parties who need your personal information for the performance of their duties will have access to your personal information. We may also use your personal information to determine your eligibility for, and provide you with details of, other related products or services that we believe meet your changing needs. You may withdraw your consent for us to use your personal information to provide you with other products or services offered. If you wish to withdraw your consent, you may contact us at 506-853-6040/1-888-577-7337 or investments.retirement@assumption.ca.

You agree that we use your personal information to comply with legal and regulatory requirements, to confirm your identity and the accuracy of the information you provided, to conduct searches to locate you and to update your information at your request.

You understand that we will only use your social insurance number (SIN) as required by tax laws, but may also use it, in exceptional circumstances, if we need to locate you after having used other less sensitive personal information. In such instance, we may need to contact the income tax authorities or a credit agency to assist us in finding your most recent address in order to locate you.

If you purchased an annuity, we may use your personal information, on a continuous basis, to confirm with a Third-Party service provider that you are still living at the time of the annuity payment.

Disclosure

While administering your product, we may need to collect and share your personal information with Third Parties who may need to fulfill their duties to you or to us. If your product is a group investment or a pension plan, we may also need to collect and share your personal information with your employer or plan administrator.

Unless authorized by law or required by law or a court order, Assumption Life will not disclose your personal information to other parties without your consent.

Protection

In order to ensure the confidentiality of your personal information, we will establish and retain a file on you, in accordance with applicable laws. We may also use third-party services and servers situated in Canada or elsewhere to retain your personal information. If your personal information is retained outside Canada, it may be subject to the laws of those countries.

As part of our commitment to you pertaining to the protection of your personal information, we have put in place outsourcing contracts with Third Parties with whom we will share your personal information. Those Third Parties' contracts contain privacy protection and measures similar to those we have put in place as part of our commitment to you, respectful of privacy laws and regulations.

6764-00A-JUN22

Consent

During our client relationship with you, you may have to fill out and sign various forms. By signing those forms, you give your consent for us to collect, use and disclose your personal information, as set out in this privacy statement. Any alterations to the consent must be agreed to in writing by us.

You understand and accept that any withdrawal of your consent may make it impossible for us to administer your Product and can therefore be considered as a request for termination of your contract or group plan, forcing us to suspend any service request, including but not limited to, the payment of an annuity or benefit amount, when applicable.

You may also withdraw your consent for us to use your personal information to provide you with other product or service offerings, except those that are mailed with your statements. If you wish to withdraw your consent for us to collect, use, retain or share your personal information, you may contact us at 506-853-6040/1-888-577-7337 or investments.retirement@assumption.ca.

6764-00A-JUN22

Assumption Life

Vesta

Investing. Simplified.
With everything at your fingertips, complete your next application in just 10 minutes with Vesta, Assumption Life's investment application platform.

SEG FUNDS, GIA & DIA CODES

(AS OF JUNE, 2026)

Guaranteed Interest Account (GIA's)	Fund Code
Guaranteed Interest Account 1-year non-redeemable	GICN1
Guaranteed Interest Account 2-year non-redeemable	GICN2
Guaranteed Interest Account 3-year non-redeemable	GICN3
Guaranteed Interest Account 4-year non-redeemable	GICN4
Guaranteed Interest Account 5-year non-redeemable	GICN5
Guaranteed Interest Account 1-year redeemable	GICR1
Daily Interest Account	DIA

	75/75 (Maturity & Death Benefit)					**75/100** (Maturity & Death Benefit)				
	No Load				DSC*	No Load				DSC*
Fund Names	**CB5** Series H	**CB3** Series H	**CB2** Series H	**Trail Only** Series H	Series H	**CB5** Series B	**CB3** Series B	**CB2** Series B	**Trail Only** Series B	Series C
TARGET RISK										
Conservative Portfolio Fund (Assumption Life)	2800	2600	2500	2700	2900	3800	421	3900	3700	521
Balanced Portfolio Fund (Assumption Life)	2801	2601	2501	2701	2901	3801	420	3901	3701	520
Balanced Growth Portfolio Fund (Assumption Life)	2802	2602	2502	2702	2902	3802	415	3902	3702	515
Growth Portfolio Fund (Assumption Life)	2803	2603	2503	2703	2903	3803	414	3903	3703	514
Select Defensive Portfolio Fund (Assumption Life)	2840	2640	2540	2740	2940	3840	442	3940	3740	542
Select Moderate Portfolio Fund (Assumption Life)	2841	2641	2541	2741	2941	3841	443	3941	3741	543
Select Balanced Portfolio Fund (Assumption Life)	2842	2642	2542	2742	2942	3842	444	3942	3742	544
Select Growth Portfolio Fund (Assumption Life)	2843	2643	2543	2743	2943	3843	445	3943	3743	545
Select Enhanced Growth Portfolio Fund (Assumption Life)	2844	2644	2544	2744	2944	3844	446	3944	3744	546
All-in-One Conservative Income ETF Fund (Fidelity)	2852	2652	2552	2752	-	3852	455	3952	3752	-
All-in-One Balanced ETF Fund (Fidelity)	2846	2646	2546	2746	-	3846	448	3946	3746	-
All-in-One Growth ETF Fund (Fidelity)	2847	2647	2547	2747	-	3847	449	3947	3747	-
All-in-One Equity ETF Fund (Fidelity)	2853	2653	2553	2753	-	3853	456	3953	3753	-
TARGET DATE										
SmartSeries Income Fund (Assumption Life)	2804	2604	2504	2704	2904	3804	441	3904	3704	541
SmartSeries 2020 (Legacy) (Assumption Life)	2805	2605	2505	2705	2905	3805	433	3905	3705	533
SmartSeries 2025 Fund (Assumption Life)	2806	2606	2506	2706	2906	3806	434	3906	3706	534
SmartSeries 2030 Fund (Assumption Life)	2807	2607	2507	2707	2907	3807	435	3907	3707	535
SmartSeries 2035 Fund (Assumption Life)	2808	2608	2508	2708	2908	3808	436	3908	3708	536
SmartSeries 2040 Fund (Assumption Life)	2809	2609	2509	2709	2909	3809	437	3909	3709	537
SmartSeries 2045 Fund (Assumption Life)	2810	2610	2510	2710	2910	3810	438	3910	3710	538
SmartSeries 2050 Fund (Assumption Life)	2811	2611	2511	2711	2911	3811	439	3911	3711	539
SmartSeries 2055 Fund (Assumption Life)	2812	2612	2512	2712	2912	3812	440	3912	3712	540
SmartSeries 2060 Fund (Assumption Life)	-	2655	2555	2755	-	-	458	3955	3755	-
SmartSeries 2065 Fund (Assumption Life)	-	2656	2556	2756	-	-	459	3956	3756	-
FIXED INCOME										
Money Market Fund (Louisbourg)	2813	2613	2513	2713	2913	3813	109	3913	3713	209
Fixed Income Fund (Louisbourg)	2814	2614	2514	2714	2914	3814	152	3914	3714	204
Canadian Bond Fund (CI)	2815	2615	2515	2715	2915	3815	179	3915	3715	277
Corporate Bond Fund (CI)	2816	2616	2516	2716	2916	3816	426	3916	3716	526
Global Unconstrained Bond Fund (CI)	2854	2654	2554	2754	-	3854	457	3954	3754	-

Money can no longer be deposited into codes in orange.

**Fund transfers between DSC accounts are still permitted.*

6571-00A-APR26

Fund Names	75/75 (Maturity & Death Benefit)					75/100 (Maturity & Death Benefit)				
	No Load				DSC*	No Load				DSC*
	CB5 Series H	CB3 Series H	CB2 Series H	Trail Only Series H	Series H	CB5 Series B	CB3 Series B	CB2 Series B	Trail Only Series B	Series C
BALANCED										
Balanced Fund (Louisbourg)	2817	2617	2517	2717	2917	3817	140	3917	3717	202
Canadian Asset Allocation Fund (Fidelity)	2818	2618	2518	2718	2918	3818	413	3918	3718	513
Monthly Income Fund (Fidelity)	2819	2619	2519	2719	2918	3819	408	3919	3719	508
NorthStar® Balanced Fund (Fidelity)	2820	2620	2520	2720	2920	3820	430	3920	3720	530
Climate Leadership Balanced Fund™ (Fidelity)	2845	2645	2545	2745	-	3845	447	3945	3745	-
High Income Fund (CI)	2821	2621	2521	2721	2921	3821	412	3921	3721	512
Canadian Asset Allocation Fund (CI)	2822	2622	2522	2722	2922	3822	422	3922	3722	522
Global Growth Balanced Fund (AGF)	2851	2651	2551	2751	-	3851	454	3951	3751	-
CANADIAN EQUITY										
Canadian Dividend Fund (Louisbourg)	2823	2623	2523	2723	2923	3823	107	3923	3723	207
Canadian Small Capitalization Equity Fund (Louisbourg)	2824	2624	2524	2724	2924	3824	144	3924	3724	206
Louisbourg Momentum Fund (Louisbourg)	2825	2625	2525	2725	2925	3825	129	3925	3725	229
Preferred Share Fund (Louisbourg)	2829	2629	2529	2729	2929	3829	431	3929	3729	531
Fidelity Canadian Opportunities Fund (Fidelity)	2826	2626	2526	2726	2926	3826	146	3926	3726	230
True North® Fund (Assumption/Fidelity)	2827	2627	2527	2727	2927	3827	174	3927	3727	231
Canadian Dividend Fund (CI)	2828	2628	2528	2728	2928	3828	425	3928	3728	525
U.S., INTERNATIONAL AND GLOBAL EQUITIES										
U.S. Equity Fund (Louisbourg)	2830	2630	2530	2730	2930	3830	166	3930	3730	205
International Equity Fund (Louisbourg)	2849	2649	2549	2749	-	3849	451	3949	3749	-
American Disciplined Equity® Fund (Fidelity)	2831	2631	2531	2731	2931	3831	404	3931	3731	504
NorthStar® Fund (Fidelity)	2832	2632	2532	2732	2932	3832	409	3932	3732	509
Far East Fund (Fidelity)	2833	2633	2533	2733	2933	3833	419	3933	3733	519
International Growth Fund (Fidelity)	2834	2634	2534	2734	2934	3834	194	3934	3734	594
Europe Fund (Fidelity)	2835	2635	2535	2735	2935	3835	185	3935	3735	283
Emerging Markets Fund (Fidelity)	2836	2636	2536	2736	2936	3836	429	3936	3736	529
Global Equity + Fund (Fidelity)	2848	2648	2548	2748	-	3848	450	3948	3748	-
U.S. Stock Selection Fund (CI)	2837	2637	2537	2737	2937	3837	403	3937	3737	503
Global Dividend Fund (CI)	2838	2638	2538	2738	2938	3838	428	3938	3738	528
Global Resource Fund (CI)	2839	2639	2539	2739	2939	3839	427	3939	3739	527
Global Select Fund (AGF)	2850	2650	2550	2750	-	3850	453	3950	3750	-

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**Fund transfers between DSC accounts are still permitted.*

100% guarantee at death:

Assumption Life guarantees that the death benefit payment will be equal to 100% of the amount of any gross premiums deposited into a guaranteed interest account and 100% of any gross premiums deposited into a segregated fund prior to the annuitant's 77th birthday.

Annuitant's age on the contract at the time of premium deposit	Gross premium guarantee rate
77 – 78 years old	95%
78 – 79 years old	90%
79 – 80 years old	85%
80 years old and over	80%

75% guarantee at death:

Assumption Life guarantees that the death benefit deposit will be equal to 100% of the amount of any gross premiums deposited into a guaranteed interest account and 75% of any gross premiums deposited into a segregated fund.